

Eugene M. Osman, P.C.
ATTORNEY AT LAW

ADMITTED: COLORADO

EDUCATION

University of Denver College of Law, Denver, Colorado, J. D., 1998
Regis University, Denver, Colorado, B. S., 1994
MSCW, Columbus, Mississippi, 1964-1965
Washburn University, Topeka, Kansas, 1962-1963
Pennsylvania State University, University Park, Pennsylvania, 1951-1952

MILITARY

United States Air Force, 1953-1973
Retired (Honorably) - Rank: Lt. Colonel

PROFESSIONAL EXPERIENCE

Eugene M. Osman, P.C., Keystone, Colorado
Sole Practitioner, 1998-present
University of Denver, College of Law Student Law Office-Civil, Denver, CO
Student Attorney, 1998
University of Denver, College of Law Mediation*Arbitration Clinic, Denver, CO
Student Mediator, 1997

SECURITIES INDUSTRY EXPERIENCE

Shearson Lehman Bros./ Smith Barney, Inc., Englewood, Colorado, 1992-1997
First Vice President and Financial Consultant
E.F. Hutton & Co./ Shearson Lehman Hutton, Inc./ Shearson Lehman Bros., Denver,
Colorado, 1982-1992
First Vice President and Branch Office Manager
E.F. Hutton & Co., Denver, Colorado, 1978-1982
Vice President and Account Executive

Reynolds Securities, Inc./ Dean Witter Reynolds, Inc., Denver, Colorado, 1976-1978
Account Executive
J. Daniel Bell & Co., Denver, Company, 1975-1976
Vice President, Registered Representative and Options Director
DuPont, Glore, Forgan & Co./ DuPont Walston & Co./ Reynolds Securities, Inc.,
Denver, Colorado, 1973-1975
Account Executive

SECURITIES / COMMODITY CERTIFICATIONS (BY EXAMINATION)

Series 1 – National Association of Securities Dealers, Inc. part of General Security
Series 3 – Commodity Exam
Series 4 – Registered Options Principal
Series 5 – Interest Rate (Debt Options)
Series 12 – New York Stock Exchange Managers Exam
Series 15 – Foreign Currency
Series 24 – General Securities Principal
Series 40 – Old General Securities Principal
Series 53 – Municipal Securities Principal
Series 63 – Uniform State Exam

SECURITY / COMMODITY EXCHANGE REGISTRATIONS HELD

American Stock Exchange
Branch Manager
Debt Options
Equity Options
General Securities
Options Principal
Debt Options Principal
Chicago Board Options Exchange
General Securities
Options Principal
Chicago Board of Trade
Chicago Mercantile Exchange
National Association of Securities Dealers, Inc.
Foreign Currency
General Securities Principal
General Securities
Municipal Principal
Options Principal
National Futures Association
New York Futures Exchange
Branch Manager

General Securities
Options Principal
Philadelphia Exchange General Securities
Pacific Stock Exchange
General Securities
Options Principal
Supervisor

AREAS OF PROFESSIONAL CONCENTRATION

Securities Law
Securities Industry and Business Arbitration
Mediation

ADMITTED TO PRACTICE

United States District Court for the District of Colorado
State Courts of Colorado

PROFESSIONAL ACTIVITIES

Arbitrator, National Association of Securities Dealers, Inc., 1988-present
Chairman and panel member
Arbitrator, New York Stock Exchange, 1988-present
Chairman and panel member
Arbitrator, National Futures Association, 1997-present
Mediator, National Association of Securities Dealers, Inc., 1998-present
Mediator, New York Stock Exchange, 1998-present